

Docket No.: 057810-0085



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Customer Number: 20277
Masayuki HATA, et al.	:	Confirmation Number: 1109
Application No.: 10/766,031	:	Group Art Unit: 2815
Filed: January 29, 2004	:	Examiner: N. Drew Richards
For: SEMICONDUCTOR DEVICE AND METHOD OF FABRICATING THE SAME	:	

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required.

The references were first cited in corresponding Japanese and Chinese Office Actions and their relevance is discussed therein. Copies of the Japanese and Chinese Office Actions, together with English language versions thereof, are attached for the Examiner's information.

10/766,031

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

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Date: April 19, 2007

**Please recognize our Customer No. 20277
as our correspondence address.**



SHEET 1 OF 2

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)	ATTY. DOCKET NO. 057810-0085	SERIAL NO. 10/766,031
APPLICANT Masayuki HATA, et al.		
FILING DATE January 29, 2004		GROUP 2815

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 2003/0207480 A1	11-06-2003	KOBAYASHI et al.	Corresponds to JP 2000-307184 A
		US 6,639,241 B2	10-28-2003	NAKAHARA et al.	Corresponds to JP 2003-69153 A
		US 2005/0042787 A1	02-24-2005	ITO et al.	Corresponds to JP 2003-133650A
		US 6,153,010	11-28-2000	KIYOKU et al.	Corresponds to JP 11-219910

FOREIGN PATENT DOCUMENTS

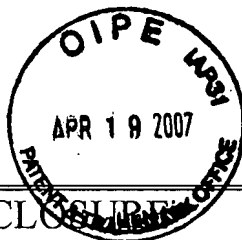
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Code ³ -Number *-Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation Yes No	
		JP 2000-307184 A	11-02-2000	SONY CORP	Corresponds to US 2003/0207480 A1	JAPAN (w/English abstract)	
		JP 2003-243773	08-29-2003	SONY CORP		JAPAN (w/English abstract)	
		JP 11-312841	11-09-1999	NICHIA CHEM IND LTD		JAPAN (w/English abstract)	
		JP 10-093186	04-10-1998	NICHIA CHEM IND LTD		JAPAN (w/English abstract)	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Chinese Office Action with English translation issued in corresponding Chinese Patent Application No. 2004-100048488, dated February 16, 2007
		Japanese Office Action with English translation issued in corresponding Japanese Patent Application No. 2003-339421, dated March 13, 2007

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.



SHEET 2 OF 2

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

(PTO-1449)

ATTY. DOCKET NO.
057810-0085SERIAL NO.
10/766,031APPLICANT
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		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Code ³ -Number & -Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
						Yes	No
		JP 2003-69153 A	03-07-2003		Corresponds to 6,639,241 B2		X
		JP 09-045999	02-14-1997	OKI ELECTRIC IND CO LTD		JAPAN (w/English abstract)	
		JP 08-051256	02-20-1996	HITACHI LTD		JAPAN (w/English abstract)	
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		JP 11-233893	08-27-1999	SHARP CORP		JAPAN (w/English abstract)	
		JP 2002-033512	01-31-2002	NICHIA CHEM IND LTD		JAPAN (w/English abstract)	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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